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«Ανάπτυξη Intrusion Detection System με τη χρήση Μπεϋζιανών Δικτύων»

"Intrusion Detection System development via Bayesian Neural Networks"

ΝΙΚΟΛΑΟΣ-ΟΡΕΣΤΗΣ ΓΑΒΡΙΗΛΙΔΗΣ

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Στην οικογένεια και τους φίλους μου

ΕΥΧΑΡΙΣΤΙΕΣ

Με την περάτωση της παρούσας εργασίας, θα ήθελα να ευχαριστήσω θερμά τους επιβλέποντες της διπλωματικής εργασίας κ. Κατσαρό Δημήτριο, κ. Τσουκαλά Ελευθέριο και κ. Κοράκη Αθανάσιο για την εμπιστοσύνη που επέδειξαν στο πρόσωπό μου, την άριστη συνεργασία, την συνεχή καθοδήγηση και τις ουσιώδεις υποδείξεις και παρεμβάσεις τους. Επιπλέον, θα ήθελα να εκφράσω την εκτίμησή μου σε όλους τους συναδέλφους με τους οποίους συνεργάστηκα στη διάρκεια αυτών των σπουδών. Τέλος, οφείλω ένα μεγάλο ευχαριστώ στην οικογένεια και τους φίλους μου για την αμέριστη υποστήριξη, την αγάπη και την ανεκτίμητη βοήθεια που μου παρείχαν τόσο κατά την διάρκεια των σπουδών μου όσο και κατά την εκπόνηση της διπλωματικής εργασίας.

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<u>ПЕРІЛНЧН</u>

Στην παρούσα εργασία εξετάζεται η δημιουργία ενός Intrusion Detection System, με τη χρήση Μπεϋζιανών νευρωνικών δικτύων. Αρχικά παρουσιάζονται ορισμένες από τις βασικές θεωρίες σχετικά με τα Intrusion Detection Systems και τη Μπεϋζιανή στατιστική. Το δεύτερο κεφάλαιο αναφέρεται σε σχετικές με το θέμα εργασίες. Στη συνέχεια ακολουθεί το κυρίως μέρος της εργασίας αυτής το οποίο περιγράφει τη δημιουργία, την εκπαίδευση και τον έλεγχο της μεθόδου που χρησιμοποιήθηκε. Στο τέταρτο κεφάλαιο αναλύεται η αρχιτεκτονική και τα αποτελέσματα που προέκυψαν σε σύγκριση και με άλλες μεθόδους. Τέλος, στο πέμπτο κεφάλαιο, γίνεται μια αποτίμηση των αποτελεσμάτων και παρουσιάζονται προτάσεις για τις πιθανές προοπτικές εξέλιξης αυτής της μεθόδου.

ABSTRACT

In this thesis an Intrusion Detection System construction using Bayesian neural networks is presented. Initially some of the basic theories of Intrusion Detection Systems and Bayesian statistics are discussed. In the second chapter related work to this thesis is provided. The construction, the training and testing of the architectures used in this thesis are presented in chapter three. Evaluation of the architectures used and the results they provided in relation also to other approaches, are displayed in chapter four. In conclusion, an overall assessment is attempted along with proposals for further research on this subject.

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1. Introduction

The rapid development of new technologies in the field of informatics has made clear that the use of computers and networks is invaluable. The abundant use of this technologies has led to an increase of data flow in the network which consequently increased the number and the type of cyber-attacks. With such a fast and everchanging environment cyber-security requires complementary techniques and technologies in order to be able to defend successfully against newly formed attacks [1][2][3]. Thus, the need and use of Intrusion Detection Systems (IDS) proves to be irrefutable and valuable.

1.1 Intrusion Detection System

An Intrusion Detection System is a mechanism designed to monitor a network (NIDS) or a system-host (HIDS), detect anomalies from malicious activities and report the incident to the administrator [4]. The intrusion detection can be performed in various ways. Signature based detection is used to identify already known threats by comparing signatures or patterns between new data and rules that are pre-installed in the intrusion detection device. The intrusion detection can be also achieved via anomaly detection. Every packet that deviates from the normal traffic pattern is classified as an intrusion. This method is more effective in unknown and new threats. Signature based detection produces high detection accuracy on known attacks but performs weakly on unknown ones. On the other hand, anomaly detection suffers from high false-positives but it is widely used due to its ability to recognize new and unknown threats [5]. Intrusion Detection Systems may also rely on

reputation-based detection. Misbehavior leads in reputation detraction. A packet is categorized as normal traffic or threat according to the reputation of their source [6].

In the development of anomaly detection-based Intrusion Detection Systems, many machine learning algorithms such as K-Means Clustering [7], Support Vector Machines (SVM) [8], Bayes Network [9], Self-Organized Maps (SOM) [10], Random Forest Classifier [11], Neural Networks [12], etc. have been used. Machine learning algorithms can be classified into four categories. Supervised machine learning, unsupervised machine learning, semi-supervised machine learning and reinforcement machine learning algorithms.

Supervised machine learning is used for classification and regression problem solving. A function which maps inputs to outputs will be inferred from labeled training data which are forced into the algorithm. When the algorithm achieves a predetermined performance, training stops. New and unknown data for the model can be predicted with a certain accuracy [13].

Unsupervised machine learning also known as self-organization, on the other hand is used for clustering, extraction of association rules, density estimation, or to project data from a high-dimensional space down to a number we can visualize and understand. Data forced into the algorithm are unlabeled and the model works on its own to discover information and patterns [14].

Semi-supervised learning combines labeled and unlabeled training data. However unlabeled data are much more than the labeled ones. It is a combination of supervised and unsupervised learning. It is mostly used when labelled data are very difficult to find or costly to create. Adding unlabeled data and converting a supervised to semi-supervised model, sometimes may lead to accuracy improvement, such as in Alexa's case [15].

Reinforcement learning aims to lead the software agent to solve a complex game-like situation by making a sequence of decisions. The game provided to the machine has specific rules of obtaining and losing points. By trial and error, agents end up with sophisticated solutions that maximize the notion of aggregated reward [16].

1.2 Artificial Neural Networks

As mentioned above, one of the methods often used to develop intrusion detection systems are artificial neural networks. These networks simulate the processes of the brain. An artificial neuron is a mathematical function conceived as a model of a biological neuron. Biological neural networks are formed by billions of neurons that are interconnected through synapses. Electrochemical signals are responsible for the information transmitted over the network [17]. In contrast, a large artificial neural network may consist of hundreds or thousands of processor units, resulting to a decrease of their overall interaction and emergent behavior [18]. The definition of artificial neural networks as given by Dr. Robert Hecht-Nielsen is:

"...a computing system made up of a number of simple, highly interconnected processing elements, which process information by their dynamic state response to external inputs." [19].

There are two fundamental types of artificial neurons, those are Perceptron and Sigmoid neurons. The first artificial neuron, classical perceptron model, was proposed by Frank Rosenblatt, an American psychologist, in 1958. Marvin Minsky and Seymour Papert further polished the precedent model in 1969, resulting to what is referred to as the perceptron model.

Let $\Phi = \{\varphi_1, \varphi_2, ..., \varphi_n\}$ be a family of predicates. Then ψ is linear with respect to Φ if a set of numbers $\{a_{\varphi 1}, \alpha_{\varphi 2}, ..., \alpha_{\varphi n}\}$ and a number θ exist, such that $\psi(X) = 1$ if and only if $\alpha_{\varphi 1} \varphi_1(X) + \cdots + \alpha_{\varphi n} \varphi_n(X) > \theta$.

The a's are called weights or coefficients and θ is called threshold. Threshold can be replaced with bias $b = -\theta$.

$$\psi(X) = \begin{cases} 0 & \text{if } \sum_{\varphi \in \Phi} \alpha_{\varphi} \varphi(X) \leq \theta \\ 1 & \text{if } \sum_{\varphi \in \Phi} \alpha_{\varphi} \varphi(X) > \theta \end{cases}$$

or,

$$\psi(X) = \begin{cases} 0 & \text{if } a \cdot \varphi(X) + b \le 0 \\ 1 & \text{if } a \cdot \varphi(X) + b > 0 \end{cases}, \quad b = -\theta$$

Marvin Minsky and Seymour Papert define perceptron as:

"a device capable of computing all predicates which are linear in some given set Φ of partial predicates." [20]

Perceptrons are binary classifiers which make decisions by weighing up evidence. For the output to get calculated, unit step activation function is used:

$$f(x) = \begin{cases} 0 & \text{if } x \le 0 \\ 1 & \text{if } x > 0 \end{cases}$$

Changes in weights and biases produce different models. Weights and biases can be selected freely or be automatically tuned by devise learning algorithms.

The weight of each input represents its importance whereas bias value is used to shift activation function's curve up or down.

Perceptrons are divided into families, some of which are [20]:

- i. Diameter-limited perceptrons
- ii. Order-restricted perceptrons
- iii. Gamba perceptrons
- iv. Random perceptrons
- v. Bounded perceptrons

In order for a model to be tuned, small changes in weights and bias are needed. When those changes take place, an irrelevantly big change in output is not desired. Perceptrons suffer from such an unwanted behavior due to the fact that their activation function is discontinuous.

The sigmoid neuron is a slightly more sophisticated and smoothed-out perceptron. Perceptron's unit step activation function was replaced by the sigmoid function:

$$\sigma(z) \equiv \frac{1}{1 + e^{-z}}$$

In this model, small changes in weights and biases achieve small changes in output, which means that it is more numerically stable than the perceptron. Also, it is easier to figure out the output changes for small parameter changes. The outputs are no longer binary, they are infinite in [0,1]. Provided a binary

classification is needed, an appropriate threshold can be set. Other activation functions used in neurons are:

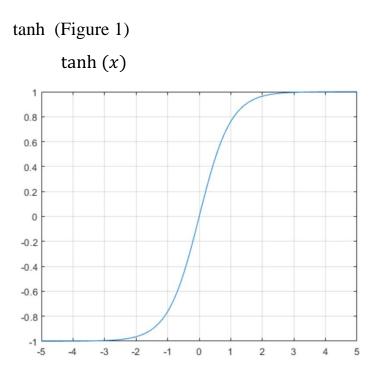


Fig. 1: Graph of hyperbolic tangent function [21].

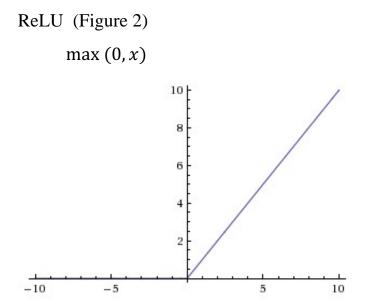


Fig. 2: Graph of rectified linear unit [22].

15

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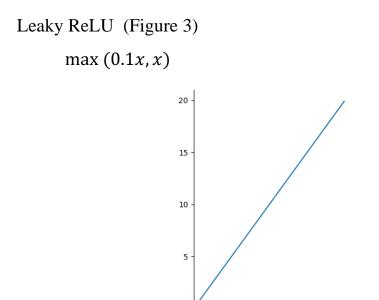


Fig. 3: Graph of leaky rectified linear unit [23].

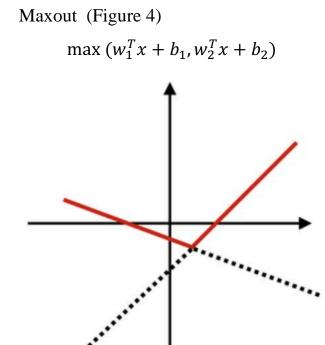
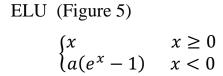


Fig. 4: Graph of maxout (n=2) [24].



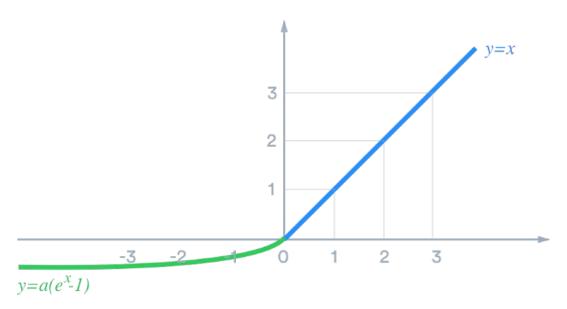


Fig. 5: Graph of exponential linear unit [25].

Artificial neural networks consist of multiple artificial neurons. Artificial neurons may be referred to also as nodes. Neurons are typically organized in layers which are interconnected [18]. In a layered architecture the set of computing units N is subdivided into λ subnets $N_1, N_2, ..., N_{\lambda}$. Connections can only go from units in N_1 to units in N_2 , from N_2 to N_3 , etc. [26]. Multiple variations of the layered architecture exist and are used depending on the features of the problem the model is designed for. There are three types of layers:

- i. Input Layer N_1
- ii. Hidden Layer N_2 , ..., $N_{\lambda-1}$
- iii. Output Layer N_{λ}

Data are fed in the neural network through the input layer, also known as the first layer of the network. In this stage simple decisions are made. The processed data are then forwarded to the hidden layer. Hidden layer may be consisted of several layers depending on the problem and the architecture of the model. Those layers process data through a system of weighted connections. As a result, more complicated decisions are made at a more involved and abstract level. Because of their obscure decision-making, neural networks are commonly viewed as black box [27]. The final layer of a neural network is formed by computing units from which results are read off [26].

In order for a neural network to be trained, in a supervised manner, many learning algorithms have been devised. The algorithm which led neural computing back to the mainstream of computer science was the backpropagation algorithm which was rediscovered in the 1980s [26]. Backpropagation can be decomposed in four steps:

- i. Feed-forward computation
- ii. Backpropagation to the output layer
- iii. Backpropagation to the hidden layer
- iv. Weight updates

The termination of the algorithm is signaled when the value of the error function has become sufficiently small [26].

1.3 Bayesian Neural Networks

Neural networks can be treated in a Bayesian manner. Algorithms who do so, are referred to as Bayesian Neural Networks (BNNs) [28]. Originally,

Bayesian neural network model was studied as a one-layer recurrent artificial neural network. Keystone of this model was the idea of a naive Bayesian classifier. In this model, training is achieved via the Bayesian learning rule. All units in the network are considered as stochastic events and the weight calculation is based on the correlation between these events. The activation rate of a unit represents the probability of that event occurring, given the corresponding events [29].

In a classical neural network in order to optimize the loss of the loss function, it is common practice to seek a parameter optimum, say θ^* - a point estimate of the weights. On the other hand, in the Bayesian approach, the inherent uncertainty in the estimates is taken in to account. For this to be done, priors are introduced on the network weights. Learning is attained by approximating the posterior, i.e., $p(\theta|data)$. Some of the methods used to achieve the posteriors approximation are:

- i. Probabilistic backpropagation
- ii. Variational inference
- iii. Expectation propagation

The approximation of the posterior, in most cases, is challenging. Additionally, complexity in a Bayesian neural network architecture may rise further, as the selection of the prior might prove to be challenging. Bayesian neural networks are well suited when uncertainty estimates are important [28]. These networks are based on the famous Bayes theorem:

$$P(A|B) = \frac{P(B|A)P(A)}{P(B)}$$

In this thesis the challenging problem of the Bayesian inference will be approached by Variational Bayes, which is an approximation of Bayesian inference. It is a method which approaches large scale, multidimensional modern problems rapidly. Some of the problems this method is used for are:

- i. Text analysis
- ii. Structure discovery
- iii. Gene expression analysis
- iv. Neuroscience

As mentioned above Bayesian inference makes use of the Bayes rule:

$$p(\theta|y_{1:N}) \propto_{\theta} p(y_{1:N}|\theta)p(\theta)$$

Or

$$p(\theta|y_{1:N}) = p(y_{1:N}|\theta)p(\theta)/p(y_{1:N})$$

Were θ is the parameter.

 $y_{1:N}$ are the data.

 $p(\theta|y_{1:N})$ is the posterior.

 $p(y_{1:N}|\theta)$ is the likelihood.

 $p(\theta)$ is the prior distribution over the parameter.

 $p(y_{1:N})$ is the evidence. It can also be written as: $\int p(y_{1:N}, \theta) d\theta$

The steps for Bayesian inference are [30]:

- i. Build a model: choose prior and likelihood
- ii. Compute the posterior
- iii. Report a summary, e.g. posterior means (point estimate) and (co)variances (uncertainty)

Steps **ii** and **iii** present a computational challenge. That is the reason why approximate Bayesian inference is used. Markov Chain Monte Carlo (MCMC), which is a Bayesian inference approximation algorithm, has been called one of the ten most influential algorithms of the 20^{th} century and it is widely used because it is accurate. The problem with this algorithm is that it is extremely slow. Instead of Markov Chain Monte Carlo, an optimization approach can be used. The posterior will be approximated with q^* .

$$q^* = argmin_{q \in Q} f(q(\cdot), p(\cdot | y))$$

Where f represents the farness from the posterior $p(\theta|y)$. f is not a distance, because that would mean that it is positive definite and symmetric.

Using Variational Bayes (VB) f is Kullback-Leibler divergence in a particular direction:

$$\mathrm{KL}(\mathbf{q}(\cdot)||p(\cdot|y)) \coloneqq \int q(\theta)\log\frac{q(\theta)}{p(\theta|y)}d\theta$$

The reasons why Kullback-Leibler divergence has been chosen are the fact that it is extensively used, it is fast and point estimates and predictions seem to be pretty accurate. The main problem presented is that we don't know the exact posterior. So, by analyzing Kullback-Leibler divergence we get:

$$\int q(\theta) \log \frac{q(\theta)}{p(\theta|y)} d\theta = \int q(\theta) \log \frac{q(\theta)p(y)}{p(\theta,y)} d\theta = \int q(\theta) \log q(\theta) d\theta +$$

$$+ \int q(\theta)p(y) d\theta - \int q(\theta) \log \left(q(\theta)p(y)\right) d\theta =$$

$$= \log p(y) - \int q(\theta) \log \frac{p(\theta,y)}{q(\theta)} d\theta$$

We are trying to find the q that minimizes the Kullback-Leibler divergence, so the term $\log p(y)$ of the result above can be ignored because it affects nothing. On the other hand, the second term $\int q(\theta) \log \frac{p(\theta,y)}{q(\theta)} d\theta$ contains only thing that we already know [30]. Because:

$$p(\theta, y) = p(y|\theta)p(\theta)$$

Which are the inputs of the problem. So, the optimization takes place in the $\int q(\theta)log\frac{p(\theta,y)}{q(\theta)}d\theta$ term which is named "Evidence Lower Bound" or (ELBO). It is known that:

$$KL \ge 0 \Rightarrow \log p(y) \ge ELBO$$

Minimizing KL, namely finding q^* to be the minimizer of the KL is exactly equivalent of q^* being the maximizer of the ELBO:

$$q^* = argmax_{q \in Q} ELBO(q)$$

That way the closest of the "nice" distributions is found. Nice distributions are [29][30]:

- i. Low dimensional distributions.
- ii. Exponential families.

2. Related Work

Intrusion Detection Systems are a vital component of the security infrastructure of a commercial or private network. In recent years, safety of data such as sensitive personal information, financial records, patents etc have proven to be a cornerstone for maintaining the trust between corporations and consumers. In this respect, many efforts have been made to develop intrusion detection systems.

2.1 K-means

One of the methods used to create an intrusion detection system was the combination of K-means and Random Forest algorithms [7]. The methodology followed in this approach was:

- i. Clustering via K-means algorithm
- ii. Classification via Random Forest algorithm

A distance-based clustering was performed on objects in order to classify the invasions into clusters. The reason why clustering was used is that no information about the labels of the data existed, so unsupervised learning was unavoidable. K-means algorithm was used to cluster dataset connections. This algorithm is widely used when clustering is needed and groups the data into a user-specified number of K clusters. K-mean's algorithm steps are:

- i. The primary group centroids are denoted by K points which are placed into the space.
- ii. Data are assigned into groups according to their adjacency to the centroid.
- iii. When all data have been assigned to groups, the position of the K centroids is recalculated.
- iv. Steps ii and iii are repeated until the centroids positioning remains unchanged.

By applying K-means algorithm to the dataset, data are partitioned into 5 groups. That is because the number assigned to K was 5.

Following the clustering, classification is achieved via the Random Forest algorithm, which is a supervised classification algorithm. The forest created by this algorithm consists of trees. As the number of trees grows, the result of the classification gets more accurate. The way each classification tree grows in the method used is:

- i. Given that the number of cases in a training set is N, a random sample of N cases is selected from the original data. That way the training set for growing the tree is formed.
- ii. Let M is the number of the input variables, a number mM is specified where in each node m represents the variables selected at random out of M. A procedure where the node gets split, follows. The number m remains immutable during the forest growing procedure.
- iii. Growing of the tree continues until no further extension can be made.No pruning is applied.

The dataset used for the evaluation of the IDS proposed was the KDDCup'99 dataset [7].

2.2 Support Vector Machines

Another approach in the construction of an intrusion detection system was based on Rough Set Theory (RST) and Support Vector Machines (SVM) [8]. The methodology followed was:

- i. After data preprocessing feature selection was accomplished by the use of Johnson's and genetic algorithm of Rough Set Theory. That way valuable features were uncovered.
- ii. The classification of data was achieved by feeding the reduced set of data to the Support Vector Machine.

Rough set theory is intended to handle data that are indistinguishable, imperfect or incompatible. Relationships are formed between every object of significance and a piece of information which represents relative relationship. That information is used to derive data classification. Summarizing, we get that Rough set theory is:

- i. A data-mining technique which, given a large number of data will decrease their features.
- ii. By conducting an upper and a lower approximation to set membership, it deals with unpredictability, vagueness and incompleteness.

iii. It is a method which has effectively been used to eliminate redundant information and determine data dependencies.

After the feature selection process, the method used to classify intrusions from normal data was Support Vector Machines. Those algorithms are capable of achieving high accuracy rates and perform great in classification problems. Support Vector Machines are:

- i. A statistical learning theory which foundations are machine learning methods.
- ii. It is an algorithm which enjoys great acceptance and usage in data mining, image recognition, bioinformatics and text recognition.
- iii. A relatively quick algorithm.
- iv. Scalable.

The input data and the output areas are given by:

$$(x_i, y_i), \dots, (x_n, y_n), x \in \mathbb{R}^m, y \in \{+1, -1\}$$

Where $(x_i, y_i), ..., (x_n, y_n)$ are the training data.

n is the number of samples.

m is the inputs vector.

y fits respectively into +1 or -1.

The dataset used for the evaluation of the IDS proposed was the KDDCup'99 dataset [8].

2.3 Bayesian Networks and Hidden Markov Model

Construction of an intrusion detection system has been also achieved by combining Bayesian Networks and Hidden Markov Model (HMM) [9]. While Hidden Markov Models are still in primary stages in framing intrusion detection systems construction, they are used in:

- i. Speech recognition.
- ii. Speech synthesis.
- iii. Gene prediction.
- iv. Crypt analysis. Etc.

A Hidden Markov Model consists of a finite set of states. A probability distribution is associated with each state. A set of probabilities, which are called state transition probabilities, determine the transitions among these states. An outcome or an observation, can be generated in a specific state through the associated probability distribution. The term "Hidden" is due to the fact that an external observer can't see the state but only the outcome. A Hidden Markov Model can be defined by:

- i. The number of states N that the model has.
- ii. The number of observation symbols in the alphabet, M, which is infinite when the observations are continuous.
- iii. A set of state transition probabilities.
- iv. A probability distribution on each state.
- v. The initial state distribution.

A Bayesian network can be also referred to as a belief network. It is an amplified acyclic graph which is represented by G(V, E).

V is a set of vertices.

E is a set of directed edges joining vertices.

In a belief network loops are prohibited. A name of a random variable and a probability distribution is contained in all vertices of V. The Bayesian network used was built as follows:

- i. A set of variables was chosen from the training dataset.
- ii. An ordering for the previously selected variables is chosen.
- iii. Let $X_1, X_2, ..., X_m$ the previously chosen order then:

For i = 1 to m:

- a. X_i node is added to the network.
- b. Parents (X_i) are set to be a subset of $\{X_1 ... X_{i-1}\}$ such that there is a conditional independence of X_i and all other members of the subset $\{X_1 ... X_{i-1}\}$ given Parents (X_i) .
- c. The probability table of $P(X_i = k | Assignments of Parents(X_i))$ is defined.

In this approach of intrusion detection system construction after the preprocess of the data a separate Bayesian Network for normal and attack type records respectively is created via a Hidden Markov Model architecture.

The initialization of the Hidden Markov Model follows and its parameters are based on the previous Bayesian Networks.

The dataset used for the evaluation of the IDS proposed was the KDDCup'99 dataset [9].

2.4 Self-organizing map

An additional approach on intrusion detection systems construction was by the use of Self-organizing map (SOM) [10]. This is an algorithm which has the following characteristics:

- i. Unsupervised.
- ii. Has fast conversion.
- iii. An automatic clustering algorithm.

Self-organizing map is a neural network model which aims in building a topology in which the points in the dataset preserve their neighborhood relation. This algorithm is used in:

- i. Image processing.
- ii. Voice recognition.
- iii. Speech recognition.
- iv. Spatial data mapping.
- v. Data compression.
- vi. Pattern recognition. Etc.

It is a feedback network which can turn high-dimensional data into low-dimensional ones. The grid of neurons in Self-organizing map is predefined. The way of learning that it uses is competitive learning. The neuron whose vector is more similar to the input vector is considered as the winning neuron. This neuron is called Best Matching Unit (BMU). After Best Matching Units have been determined, weights from neurons surrounding those units are adjusted, resulting to a distance diminution over time. Self-organized map based intrusion detection systems are already been used commercially because they can achieve high speed and fast conversion. After testing the algorithm in KDDCup99' dataset, there were two major concerns:

- i. Poor detection rate of some attacks.
- ii. Computational time.

In order to overcome those problems two variations of the initial algorithm were tested:

- i. Hierarchical Self Organizing Map (HSOM)
- ii. Growing Hierarchical Self Organizing Map (GHSOM)

The Hierarchical Self Organizing Map algorithm was found to be even more time demanding than the initial method used. In addition, in order for this algorithm to perform well, a great deal of effort was required to select the best combination of layers.

On the other hand, by using Growing Hierarchical Self Organizing Map the computational time problem was eliminated. This algorithm has an adaptive nature, which means that the topology grows as the inputs flow in. Its initial grid is 2x2 and can expand according to thresholds, horizontally and vertically. As a result, all neurons of the resulting topology are beneficial for the detection [10].

2.5 Random Forest

Random Forest is a common classification algorithm which has also been used for the construction of intrusion detection systems [11]. A collection of decision trees, form the random forest. For nodes to split, the number of trees, the minimum node size and the number of features, are used. The advantages of the random forest algorithm are:

- i. Forests that have been generated can be saved and be used for future reference.
- ii. Over fitting problem is avoided.
- iii. Accuracy and variable importance are generated automatically.

Before the data are fed to the random forest algorithm feature selection (FSS) is applied. By applying feature selection, the following are achieved:

- i. Dimensionality reduction.
- ii. Irrelevant features removal.
- iii. Improved accuracy of the classification.

Additional preprocessing techniques used are:

i. Replacement of missing values.

ii. Discretization.

The dataset used for the evaluation of the IDS proposed was the KDDCup'99 dataset and the evaluation was performed by 10-fold cross validation [11].

2.6 Artificial neural networks

Intrusion detection systems construction has also been accomplished via neural networks as described in the following approach [12]. There are several architectures and types of neural networks. Five different neural networks were tested:

i. Feed Forward Neural Network (FFNN).

ii. Elman Neural Network (ENN).

iii. Generalized Regression Neural Network (GRNN).

iv. Probabilistic Neural Networks (PNN).

v. Radial Basis Neural Network (RBNN).

Feed forward neural networks can be either single-layer or multi-layer networks. Single layer neural networks consist of an input and an output layer while multi-layer neural networks have an additional hidden layer. The hidden layer may be formed by more than one interconnected layers. Signals in a feed forward neural network can only travel from input to output layer.

By adding layer recurrent connections with tap delays in a feed forward neural network, an Elman neural network is created. This type of network can perform sequence prediction, which is something that multilayer perceptrons can't.

Generalized regression neural networks have four layers:

- i. Input layer.
- ii. Hidden layer.
- iii. Pattern layer / Summation layer.
- iv. Decision layer.

Their advantages compared to a multilayer perceptron are:

- i. Faster training.
- ii. Better accuracy.
- iii. Relative insensitivity to outliers.

While their disadvantages are:

- i. Slower in the classification of new cases.
- ii. More memory demanding.

Probabilistic neural networks in essence are functions which approximate the distribution's probability density.

They consist of three layers:

- i. Pattern Layer.
- ii. Summation Layer.
- iii. Output Layer.

Lastly, radial basis neural networks also consist of three layers:

- i. Input layer.
- ii. Hidden layer.
- iii. Output layer.

Hidden layer neurons contain Gaussian transfer functions. Those networks are considered to be curve-fitting problems in high-dimensional space.

The dataset used for the evaluation of the IDS proposed was the KDDCup'99 dataset [12].

3. <u>Intrusion Detection System Proposed</u>

In this thesis the construction of an intrusion detection system has been attained through a Bayesian neural network. Those networks were chosen due to the following three reasons:

- i. Their unique ability to say "I don't know".
- ii. Fast convergence of data training phase.
- iii. Their ability to be trained by small training datasets.

The facts that internet is an environment where new or variations of already known attacks are a frequent phenomenon and datasets of network traffic are limited, it would be a game changing tool, for a network administrator, an intrusion detection system which in addition to the extra level of security that it provides, can also distinguish traffic which is unknown. That way new datasets could be formed, better training on intrusion detection systems achieved and system or network vulnerabilities avoided.

3.1 Programming environment – Tools

The intrusion detection system was implemented by using Python programming language in PyCharm CE IDE. The libraries used are:

- i. Pytorch.
- ii. Pyro.
- iii. Numpy.
- iv. Matpotlib.

Numpy and Matprotlib are widely known libraries and are covered by an extensive number of references, therefor, in this thesis, more details will be provided for Pytorch and Pyro.

Pytorch is a computing package which is based on Python. It is a platform which provides flexibility, speed and ease of use in deep learning research. In addition, GPUs computing power can be utilized. The reasons why Pytorch was preferred are [31]:

- i. Ease of use.
- ii. It has Python support because it integrates with the python data science stack.
- iii. Dynamic computational graphs can be built by a framework provided.

Probabilistic modeling of the Bayesian neural network created, was achieved by Pyro. This is a universal probabilistic programming language (PPL) which is supported by PyTorch and it is also written in Python. Key benefits of Pyro are [32]:

- Universality. Any computable probability distribution can be represented.
- ii. Scalability. Little overhead is required to scale to large datasets.
- iii. Because of the fact that it is implemented with a small core of abstractions, Pyro is minimal.
- iv. Flexibility.

3.2 Datasets – Preprocessing

A very common dataset used for training and testing of intrusion detection systems is the KDDCup'99 dataset [33]. That is the dataset which is also used for the testing of the intrusion detection system of this thesis. The exact datasets used are:

For training: kddcup.data_10_percent.gz

For testing: corrected.gz

The training set consists of 494,021 data while the test set of 311,029 data. Each data of the datasets has 41 features and a label. A total of 42 columns. The list of the feature is:

- 1. duration: continuous.
- 2. protocol_type: symbolic.
- 3. service: symbolic.
- 4. flag: symbolic.
- 5. src_bytes: continuous.
- 6. dst_bytes: continuous.
- 7. land: symbolic.
- wrong fragment: continuous.
- 9. urgent: continuous.
- 10. hot: continuous.

- 11. num_failed_logins: continuous.
- 12. logged_in: symbolic.
- 13. num_compromised: continuous.
- 14. root shell: continuous.
- 15. su_attempted: continuous.
- 16. num_root: continuous.
- 17. num_file_creations: continuous.
- 18. num_shells: continuous.

- 19. num_access_files: continuous.
- 20. num_outbound_cmds: continuous.
- 21. is_host_login: symbolic.
- 22. is_guest_login: symbolic.
- 23. count: continuous.
- 24. srv_count: continuous.
- 25. serror_rate: continuous.
- 26. srv_serror_rate: continuous.
- 27. rerror_rate: continuous.
- 28. srv_rerror_rate: continuous.
- 29. same_srv_rate: continuous.
- 30. diff_srv_rate: continuous.
- 31. srv_diff_host_rate: continuous.
- 32. dst_host_count: continuous.

- 33. dst_host_srv_count: continuous.
- 34. dst_host_same_srv_rate: continuous.
- 35. dst_host_diff_srv_rate: continuous.
- 36. dst_host_same_src_port_ra te: continuous.
- 37. dst_host_srv_diff_host_rate: continuous.
- 38. dst_host_serror_rate: continuous.
- 39. dst_host_srv_serror_rate: continuous.
- 40. dst_host_rerror_rate: continuous.
- 41. dst_host_srv_rerror_rate: continuous.

Training and test sets have 5 different classes of data:

- i. Normal data
- ii. Denial of Service (DoS) attacks.
- iii. Remote to Local (R2L) attacks.
- iv. Probing attacks.
- v. User to Root (U2R) attacks.

Each of the attack classes consists of several attack types. In the training set there are 22 different attack types which are classified as shown in table 1:

Table 1: Training set analysis

Class	Attack type	Total number of data		
DoS	back, land, neptune, pod, smurf,	391,458		
	teardrop			
R2L	ftp-write, guess-password, imap,	1126		
	multihop, phf, spy, warezclient,			
	warezmaster			
Probing	Ipsweep, nmap, portsweep, satan	4107		
U2R	Buffer-ocerflow, loadmodule,	52		
	perl, rootkit			

In the test set there are 17 additional attack types which classify as shown in table 2:

Table 2: Test set analysis

Class	Attack type	Total number of data
DoS	processtable, apache2, mailbomb,	229,853
	udpstorm	
R2L	named, snmpguess, snmpgetattack,	16,347
	sendmail, xlock, httptunnel, worm,	
	xsnoop	
Probing	saint, mscan	4166
U2R	xterm, ps, sqlattack	70

From the aforementioned 41 features, three of them, namely protocol_type, service and flag, were categorical. Those values were mapped into numerical input data as follows:

Say A is a categorical feature which has 3 values {a, b, c}.

Then:

- {a} is represented as [1,0,0]
- {b} is represented as [0,1,0]

$\{c\}$ is represented as [0,0,1]

Due to the large deviation of the feature values, normalization and standardization are the two frequently used methods to resolve this issue. In this thesis a standardization method was applied for data preprocessing, with the use of standard score (z-score):

$$z = \frac{X - \mu}{\sigma}$$

Where X is the input value that needs to be transformed.

 μ is the mean.

 σ is the standard deviation.

By performing this transformation, we express each value X into a z-score which has mean equal to 0 and a standard deviation equal to 1. Z-score transformation was selected because its sensitivity to data outliers is rather small.

One of the codes used for preprocessing the data was as follows:

```
import pandas as pd
import numpy as np
import ison
from sklearn.preprocessing import StandardScaler
def header_creation(df):
  header_list = []
  for i in range(0, len(df.columns)):
    col_name = str(i)
    header_list.append(col_name)
  df.columns = header_list
  return df
def inner_header_creation(df,col_name):
  header_list = []
  col num = "
  for i in range(int(col_name), int(col_name)+len(df.columns)):
    col_num = str(i)
    header_list.append(col_num)
  df.columns = header_list
  return df
def rename_header(df,col_name,length):
 header_list = []
  for i in range(0, len(df.columns)):
    if i < int(col_name):</pre>
      col_num = str(i)
      header_list.append(col_num)
    else:
      col_num = str(i + length)
      header_list.append(col_num)
  df.columns = header_list
  return df
def string_to_code(df,col_name):
  table_size = len(df[col_name].unique())
  dicts1 = \{\}
  a = np.zeros((table_size, table_size), int)
 np.fill_diagonal(a, 1)
 a_str = "
```

```
a_{list} = []
  for j in range(0, table_size):
    for i in range(0, table_size):
      if i == 0:
        a_str = str(a[j][i])
        a_{str} = a_{str} + ',' + str(a[j][i])
    a_list.append(a_str)
  labels1 = list(df[col_name].unique())
  for i in range(0, table_size):
    dicts1[labels1[i]] = a_list[i]
  filename = 'labels' + col_name +'.json'
  with open(filename, 'w') as json_file:
    json.dump(dicts1, json_file)
  df = df.replace({col_name: dicts1})
  return df
def string_to_code_test_set(df,col_name):
  filename = 'labels' + col_name +'.json'
  with open(filename) as json_file:
    dicts1 = json.load(json_file)
  df = df.replace({col_name: dicts1})
  return df
def spilt_cols(df,col_name):
  df2 = df[col_name].str.split(",", expand=True)
  df2 = inner_header_creation(df2, col_name)
    df2 = df2.apply(pd.to_numeric)
  except:
    df2.fillna('0', inplace=True)
    df2.loc[((df2[col_name] != '1') & (df2[col_name] != '0')), col_name] = '0'
    df2 = df2.apply(pd.to_numeric)
 length = len(df2.columns)
  df = df.drop(col_name, axis=1)
  df = rename_header(df, col_name, length)
  df3 = pd.concat([df, df2], axis=1)
  labels = list(df3)
  labels.sort(key=int)
```

```
df3 = df3.reindex(columns=labels)
  return df3
def column_number_to_change(df,col_name,set_type):
  if set type == "train":
    df = string_to_code(df, col_name)
  elif set type == "test":
    df = string_to_code_test_set(df, col_name)
    print("set correct set_type option")
    exit()
  df = spilt_cols(df, col_name)
  return df
def file_processing(df,set_type):
  df = header_creation(df)
  last_column = str(len(df.columns) - 1)
  df = group_values(df, last_column)
  while True:
    flag = 0
    for i in range(0, len(df.columns) - 1):
      col name = str(i)
      if df[col_name].dtype == 'object':
        print("get in column: ", i)
        df = column_number_to_change(df, col_name,set_type)
        flag = 1
        break
    if flag == 0:
      break
  return df
def group values(df,col name):
  dicts = {'normal.':'normal', 'buffer_overflow.':'u2r', 'loadmodule.':'u2r', 'perl.': 'u2r',
'neptune.':'dos', 'smurf.':'dos', 'guess_passwd.':'r2l', 'pod.':'dos', 'teardrop.':'dos',
'portsweep.':'probe', 'ipsweep.':'probe', 'land.':'dos', 'ftp_write.': 'r2l','back.':'dos', 'imap.':'r2l',
'satan.':'probe', 'phf.':'r2l', 'nmap.':'probe', 'multihop.':'r2l', 'warezmaster.':'r2l',
'warezclient.':'r2l', 'spy.':'r2l', 'rootkit.':'u2r', 'named.':'r2l', 'xterm.':'u2r', 'ps.':'u2r',
'saint.':'probe', 'snmpguess.':'r2l', 'snmpgetattack.':'r2l', 'sendmail.':'r2l', 'xlock.':'r2l',
'httptunnel.':'r2l', 'processtable.':'dos', 'sqlattack.':'u2r', 'apache2.':'dos', 'worm.':'r2l',
'xsnoop.':'r2l', 'mailbomb.':'dos', 'mscan.':'probe', 'udpstorm.':'dos'}
  df = df.replace({col_name: dicts})
  return df
def normalize_values(df, df1):
  scaler = StandardScaler()
```

```
col list = \Pi
  for i in range (0, len(df.columns) - 1):
    col name = str(i)
    if df[col_name].dtype != 'object':
      x = list(df[str(i)].unique())
      if (x[0] != 0 \text{ and } x[0] != 1) \text{ or len}(x) > 2:
        col_list.append(col_name)
  df[col_list] = scaler.fit_transform(df[col_list])
  df1[col_list] = scaler.transform(df1[col_list])
  return df, df1
def separate(df, df1, filename, filename1):
  df_{abels} = df[['118']].copy()
  df1_labels = df1[['118']].copy()
 df = df.drop('118',axis=1)
 df1 = df1.drop('118',axis=1)
 filename = filename + "_preprocessed.csv"
  df.to_csv(filename, index=False)
  filename1 = filename1 + "_preprocessed.csv"
  df1.to_csv(filename1, index=False)
 df_labels.to_csv("Labels_"+filename, index=False)
 df1_labels.to_csv("Labels_" + filename1, index=False)
def main():
  # training set
 print("training set")
 filename = "train_set"
  df = pd.read_csv("/Users/Orestis/Desktop/Diplwmatikh Met/Data/Train/train_set",
header=None)
 set type = "train"
 df = file_processing(df, set_type)
  # test set
 print("test set")
 filename1 = "test_set"
 df1 = pd.read_csv("/Users/Orestis/Desktop/Diplwmatikh Met/Data/Test/test_set",
header=None)
 set_type = "test"
 df1 = file_processing(df1, set_type)
  df, df1 = normalize_values(df, df1)
 separate(df, df1, filename, filename1)
main()
```

From the initial training set, three smaller ones were created as shown in table 3.

Table 3: Analysis of the training datasets used

Dataset	Normal	DoS	R2L	Probe	U2R	Sum.
Initial	97,278	391,458	1,126	4,107	52	494,021
Dataset						
Mini	130	126	133	120	52	561
dataset_1						
Mini	750	851	706	800	52	3,159
dataset_2						
Mini	350	601	406	450	52	1,859
dataset_3						

Those sets where created in order to investigate whether Bayesian neural network trained by the smaller training dataset could perform at a similar or even enhanced accuracy with the initial dataset. The three smaller datasets tested were < 0.64% of the original size. Tests were conducted with the same test dataset consisted of 311,029 data.

3.3 Architecture

The preprocessing described above leads to 118 features which will act as the input and 5 classes from which the classification of the data will be determined. Therefore, the model's architecture will consist of 118 input-layer neurons and 5 output-layer neurons. The decision left to be made is regarding the size and depth of the hidden layer.

There are no solid rules to construct a perfect hidden layer. This is more of a trial and error process that with the help of heuristic methods, as the ones that follow, a kind of guideline is provided that helps to determine the size therefore the number of neurons.

1. Heuristic method:

$$\frac{number\ of\ input\ neurons+number\ of\ output\ neurons}{2}$$

Application:

$$\frac{118+5}{2}\approx 62$$

2. Heuristic method:

$$\frac{(number\ of\ input\ neurons + number\ of\ output\ neurons) \cdot 2}{3}$$

Application:

$$\frac{(118+5)\cdot 2}{3} = 82$$

3. Heuristic method: $x < 2 \cdot number \ of \ input \ neurons$

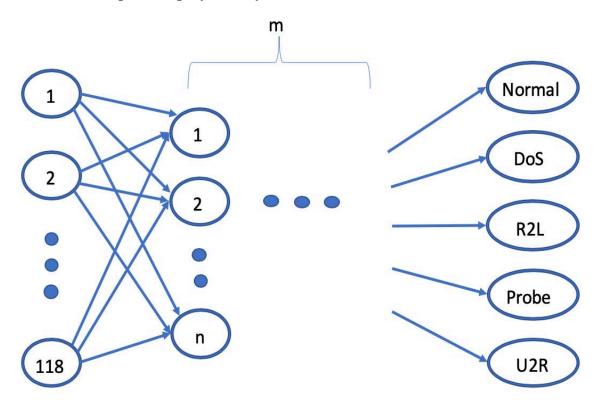
Application: x < 236, the x chosen was 210

Apart from the aforementioned three architectures, two more were tested, namely 30 and 40.

As for the depth of the hidden layer, two approaches were considered. The first one having one hidden layer whereas the second one having two.

The following chart displays the architectures described above (Chart 1).

Chart 1: Graphic display of Bayesian neural network architecture.



In the above chart

n is the number of the selected size of neurons on the hidden layer

(30,40,62,82,210).

m is the number of hidden layers (1,2).

In total ten different architectures were tested. Each architecture was trained

by the four datasets mentioned above and tested with the same test set.

3.4 Training

In the training process weights and biases must be adjusted so that the

loss function is minimized. The formation of weights and biases follows the

same procedure. The way that the weights/biases are tuned is determined by

the optimizer. In Bayesian neural networks, the goal of training is the

approximation of the posterior distribution of the weights/biases. In order for

this to be achieved standard conjugate analysis has been used with hierarchical

normal priors and normal likelihood, leading to normal posterior distributions.

Scale and location parameters, of these distributions, are approximated with

variational Bayes method.

For better comprehension of the method followed, a detailed paradigm will be

presented of how the distribution of a weight is deduced. The same applies for

biases as mentioned above.

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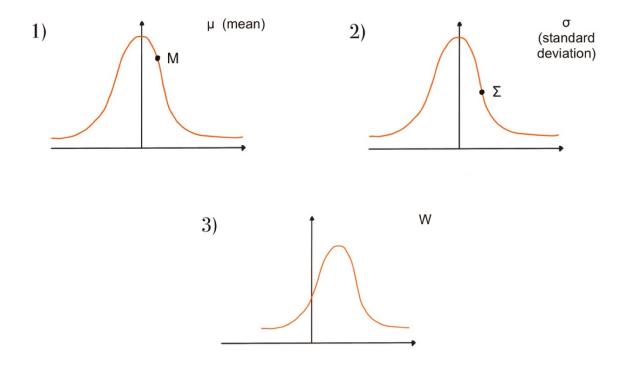


Fig. 6: Graphic representation of how weights derive.

As shown in figure 6:

- **Step 1.** A random point number **M** is selected from graph 1, which represents the normal distribution of mean (μ) .
- **Step 2.** Similarly, a random point number Σ is selected from graph 2, which represents the normal distribution of standard deviation (σ).
- **Step 3.** From the above two point numbers M, Σ , a normal distribution derives for weight (w), as shown in graph 3.

Therefor in the new graph (3):

$$mean = M$$
 and $standard\ deviation = \Sigma$

The Σ chosen is transformed by softplus function (Figure 7):

$$f(x) = \ln(1 + e^x), f(x) \in (0, +\infty)$$

Step 4. Through variational inference new mean and standard deviation normal distributions are inferred. The procedure is repeated from Step1 until loss converges.

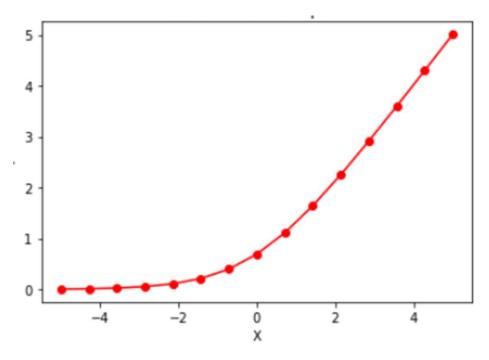


Fig. 7: Graph of softplus function [34].

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BAYESIAN NEURAL NETWORK IDS

The tools needed for stochastic variational inference are the loss

function and the optimization algorithm. Loss function measures how wrong

the predictions of the model are. In addition, it shows how the optimization

performed on weights, affected the training procedure. Meaning that if current

loss is higher than the previous one, optimization performed was in the wrong

"direction". Essentially, loss function, is the guide that determines how the

optimization algorithm must proceed. When the loss is stabilized, the model

has finished its training, or as it is most commonly known, the model has

converged.

The optimization algorithm determines, how and when, changes on weights

will be performed.

The loss function and optimizer used in the training of the data are:

Loss function: ELBO.

Optimizer: ADAM optimizer.

3.5 Testing

When the algorithm is sufficiently trained, testing must be performed

in order for the intrusion detection system to be evaluated. For a prediction to

be made, ten different sets of weights and biases are sampled from the

distributions that emerged from the training process. A prediction is made for

each of the sets and the final decision for the classification is made by

averaging those predictions and selecting the class with the highest activation

value. In other words, the final result (the prediction) occurs from the

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combination of ten different neural networks. The number of weights and biases that are sampled may defer without any restrictions.

The above-mentioned complexity of how the prediction derives explains the reason why there may be a denial of an answer, which is an integrated characteristic of Bayesian neural networks.

In the testing phase of this thesis the results came from two approaches. In the first one the algorithm was obligated to provide an answer whereas in the second one the algorithm could deny an answer.

In the second approach a threshold is set which indicates the certainty with which the algorithm provides an answer. Bellow this threshold no answer is given.

Due to the vast number of results, a sample of them will be distributed in the following pictures showing the accuracy achieved by the algorithm. From each of the four training sets the result of two architectures will be provided. One of them from the "one hidden layer" and the other for the "two hidden layers" approach.

In the following pictures classes either mentioned by name or numerically.

- $0 \rightarrow Normal$
- $1 \rightarrow DoS$
- $2 \rightarrow R2L$
- $3 \rightarrow Probe$
- 4 **→**U2R

```
optim = pyro.optim.ClippedAdam({"lr": 0.01}) # H s
                          svi = SVI(model, guide, optim, loss=Trace_ELBO())
                          num_iterations =100
                          loss = 0
Mini_train_1hidden 	imes
Prediction when network is forced to predict
accuracy: 88.7055547874957 %
Prediction when network can refuse to predict
Total data: 311029
Skipped: 19714
Accuracy when made predictions: 92.50433379674922 %
Accuracy for class normal: 97.25812703281147 %
Refused to classify for class normal: 1254
Accuracy for class dos : 97.12584104487458 %
Refused to classify for class dos : 17617
Accuracy for class r2l : 13.063119588405069 %
Refused to classify for class r2l: 409
Accuracy for class probe : 94.27277570591369 %
Refused to classify for class probe: 412
Refused to classify for class u2r : 22
```

Fig. 8: Mini dataset_3, 1-hidden layer, 40 neurons

```
atches and Consoles
                            optim = pyro.optim.ClippedAdam({"lr": 0.01}) #
                            svi = SVI(model, guide, optim, loss=Trace_ELBO
                            num_iterations = 100
                            loss = 0
                            for j in range(num_iterations):
                                loss = 0
 Mini_train_2hidden ×
 Epoch 99 Loss 57.21694798364378
 Prediction when network is forced to predict
 accuracy: 90.82175617064647 %
 Prediction when network can refuse to predict
Total data: 311029
 Skipped: 10781
 Accuracy when made predictions: 92.66506354746743 %
 Accuracy for class normal: 97.22492860742483 %
 Refused to classify for class normal: 1063
 Accuracy for class dos : 97.22379219135036 %
 Refused to classify for class dos: 8688
 Accuracy for class r2l : 13.0939677358609 %
 Refused to classify for class r2l: 416
 Accuracy for class probe : 90.12587412587412 %
 Refused to classify for class probe: 591
 Accuracy for class u2r : 29.78723404255319 %
 Refused to classify for class u2r : 23
```

Fig. 9: Mini dataset_3, 2-hidden layers, 62 neurons each.

```
optim = pyro.optim.ClippedAdam({"lr": 0.01}) # H s
                            svi = SVI(model, guide, optim, loss=Trace_ELBO())
                            num_iterations =100
                            loss = 0
鹬 Mini_train_1hidden 🗵
 accuracy: 84.82199409058319 %
 Prediction when network can refuse to predict
 Total data: 311029
 Skipped: 33008
 Accuracy when made predictions: 91.65350818823039 %
 Accuracy for class 0 : 96.41326906339961 %
 Refused to classify for class 0 : 845
 Accuracy for class 1 : 96.49003349069928 %
 Refused to classify for class 1 : 31589
 Accuracy for class 2 : 13.871248910471921 %
 Refused to classify for class 2 : 285
 Accuracy for class 3 : 94.12519240636223 %
 Refused to classify for class 3 : 268
 Accuracy for class 4 : 18.367346938775512 %
 Refused to classify for class 4 : 21
```

Fig. 10: Mini dataset_2, 1-hidden layer, 82 neurons.

```
atches and Consoles
                            optim = pyro.optim.ClippedAdam({"lr": 0.01}) #
                            svi = SVI(model, guide, optim, loss=Trace_ELBO(
                            num_iterations = 100
                            loss = 0
                           for j in range(num_iterations):
                                loss = 0
 Mini_train_2hidden ×
 Epoch 99 Loss 77.59501908300194
 Prediction when network is forced to predict
 accuracy: 82.09266660022055 %
 Prediction when network can refuse to predict
 Total data: 311029
 Skipped: 2072
 Accuracy when made predictions: 82.47782053813314 %
 Accuracy for class normal: 97.08014599270037 %
 Refused to classify for class normal: 590
 Accuracy for class dos : 83.34294602908277 %
 Refused to classify for class dos: 989
 Accuracy for class r2l : 13.281733746130032 %
 Refused to classify for class r2l: 197
 Accuracy for class probe : 94.79917610710608 %
 Refused to classify for class probe: 282
 Accuracy for class u2r : 1.7857142857142858 %
 Refused to classify for class u2r : 14
```

Fig. 11: Mini dataset_2, 2-hidden layers, 82 neurons each.

```
net = NN(118, 40, 5)
                            print(net)
🥏 Mini train 1hidden 🗵
 Prediction when network is forced to predict
 accuracy: 73.74714254940858 %
 Prediction when network can refuse to predict
 Total data: 311029
 Skipped: 39276
 Accuracy when made predictions: 78.74540483453724 %
 Accuracy for class 0 : 98.04544677942935 %
 Refused to classify for class 0 : 2063
 Accuracy for class 1 : 78.0940574881847 %
 Refused to classify for class 1 : 36248
 Accuracy for class 2 : 12.242961088263208 %
 Refused to classify for class 2 :
 Accuracy for class 3 : 92.03304023447909 %
 Refused to classify for class 3 : 413
 Accuracy for class 4 : 40.0 %
 Refused to classify for class 4 : 10
```

Fig. 12: Mini dataset_1, 1-hidden layer, 40 neurons.

```
optim = pyro.optim.ClippedAdam({"lr": 0.01}) # H sl
                            svi = SVI(model, guide, optim, loss=Trace_ELBO())
                    148
                            num_iterations = 100
                            loss = 0
Mini_train_2hidden :
Epoch 99 Loss 89.12406515012663
Prediction when network is forced to predict
accuracy: 78.33546068051533 %
Prediction when network can refuse to predict
Total data: 311029
Skipped: 35361
Accuracy when made predictions: 86.73513066442243 %
Accuracy for class normal: 96.71575542786361 %
Refused to classify for class normal: 2467
Accuracy for class dos : 89.52816367769638 %
Refused to classify for class dos: 31903
Accuracy for class r2l : 13.740843647385704 %
Refused to classify for class r2l : 511
Accuracy for class probe : 93.69929691725257 %
Refused to classify for class probe: 468
Accuracy for class u2r : 37.93103448275862 %
Refused to classify for class u2r : 12
```

Fig. 13: Mini dataset_1, 2-hidden layers, 30 neurons each.

```
net = NN(118, 62, 5)
                      print(net)
BNN X
Epoch 1 Loss
               4.046627334764256
Prediction when network is forced to predict
accuracy: 86.77422362544972 %
Prediction when network can refuse to predict
Total data: 311029
Skipped: 4596
Accuracy when made predictions: 88.53974604562825
Accuracy for class 0 : 98.76618758579627
Refused to classify for class 0 :
                                    130
Accuracy for class 1 : 92.63914610066941
Refused to classify for class 1 :
                                    1442
Accuracy for class 2 :
Refused to classify for class
                              2:
                                    746
Accuracy for class 3 :
                              %
                         0.0
Refused to classify for class 3
                                    2248
Accuracy for class 4 : 0.0
                              %
Refused to classify for class
                              4
                                    30
```

Fig. 14: Initial dataset, 1-hidden layer, 62 neurons.

```
net = NN(118, 62, 62, 5)
print(net)
test_batch() → for i in range(len(labels)) → if (highted_something) → if (la
BNN_2_hidden ×
Epoch 0 Loss 27.727282147411078
Epoch 1 Loss 16.99041748588995
Prediction when network is forced to predict
accuracy: 88.40944092030004 %
Prediction when network can refuse to predict
Total data: 311029
Skipped: 18906
Accuracy when made predictions: 90.8702840926596
Accuracy for class normal: 98.72171588890549 %
Refused to classify for class normal: 356
Accuracy for class dos : 95.9779650274039
Refused to classify for class dos: 15285
Accuracy for class r2l : 0.0
Refused to classify for class r2l: 411
Accuracy for class probe : 3.600900225056264
Refused to classify for class probe : 2833
Accuracy for class u2r : 0.0 %
Refused to classify for class u2r :
                                     21
```

Fig. 15: Initial dataset, 2-hidden layers, 62 neurons each.

4. Discussion

The algorithm used in this thesis is not often applied in the development of intrusion detection systems, thus triggering the idea of this experiment. Additional purpose for conducting this experiment was to assess how testing results would be affected when the training set volume is decreased to an extreme.

As described in detail in the above chapters, the irregularity of this approach is based on the fact that many different small datasets have been used for training but tested in the initial large test set. The outcome was positive since the results from the small datasets manage to approach the ones from the large dataset and in some cases to overpass them as shown in charts 2-11.

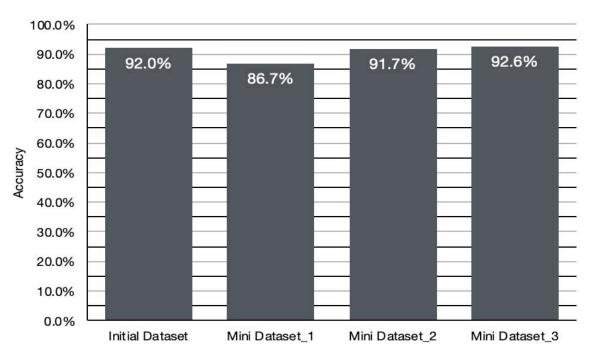


Chart 2: Max accuracy achieved by the four different datasets.

Chart 3: Accuracy of initial dataset with one hidden layer.

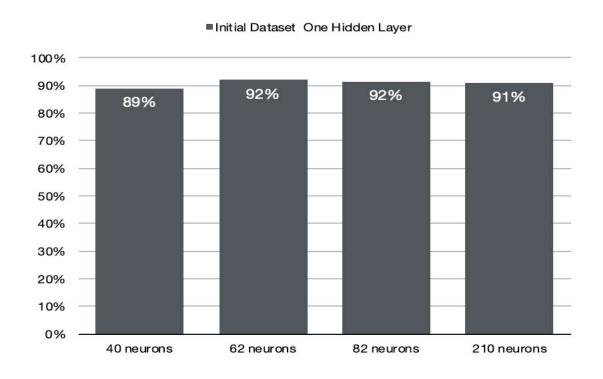


Chart 4: Accuracy of initial dataset with two hidden layers.

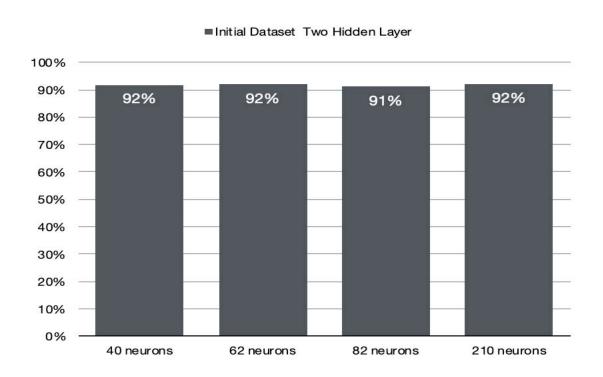
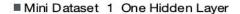


Chart 5: Accuracy of mini dataset 1 with one hidden layer.



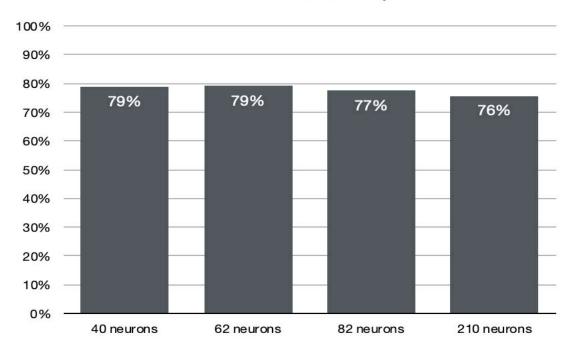


Chart 6: Accuracy of mini dataset 1 with two hidden layers.

■ Mini Dataset 1 Two Hidden Layer

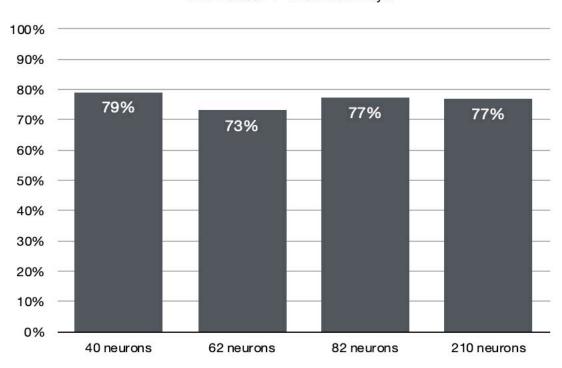


Chart 7: Accuracy of mini dataset 2 with one hidden layer.

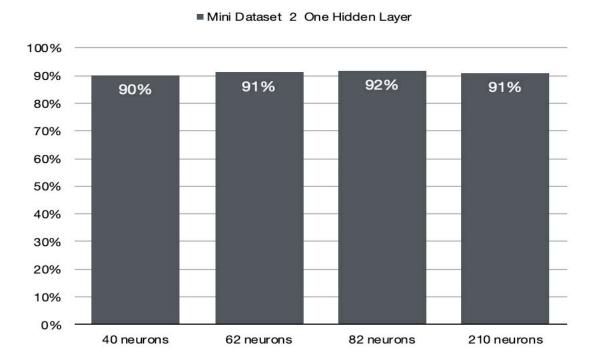


Chart 8: Accuracy of mini dataset 2 with two hidden layers.

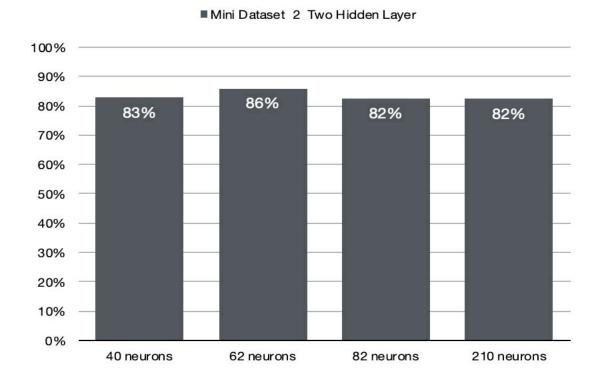
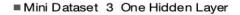


Chart 9: Accuracy of mini dataset 3 with one hidden layer.



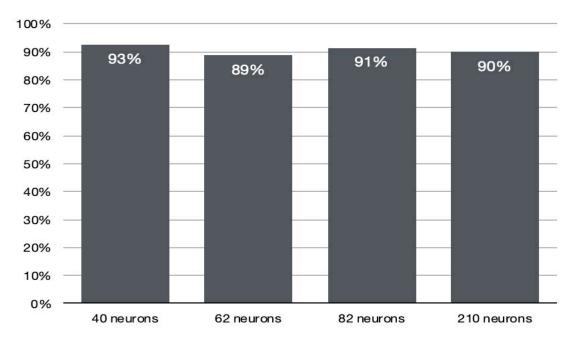


Chart 10: Accuracy of mini dataset 3 with two hidden layers.

■ Mini Dataset 3 Two Hidden Layer

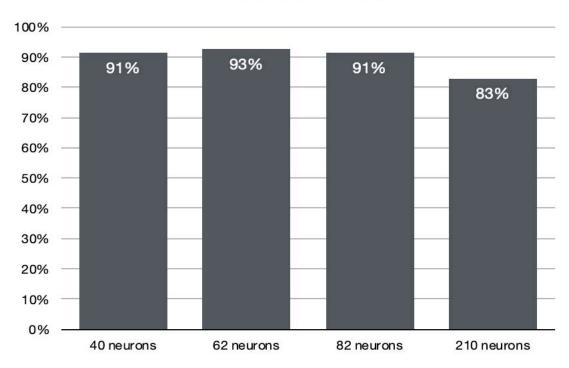
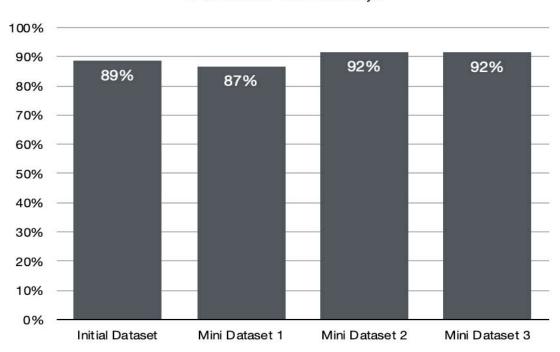


Chart 11: Accuracy of all datasets with two hidden layers of 30 neurons each.



■ 30 neurons Two Hidden Layer

A significant observation was that in the large dataset, classes that were under represented such as R2L, Probe and U2R could either not be classified correctly, or they were classified to an extremely low percentage. In contrast to the small datasets, where the same classes were at some extend correctly classified.

An additional point of interest was the fact that results of enhanced accuracy were achieved by exploiting the integrated ability of Bayesian neural networks to deny an answer.

Furthermore, an advantage was observed in that the convergence of the algorithm was rapid. In the large dataset a total of three epochs were sufficient to complete the training. In the small datasets, completion was achieved after ten to fifteen epochs.

Due to the structure of this experiment, comparison of results with other references can be done only for the large dataset. In this respect the Intrusion Detection System as constructed in this thesis while achieving good results, couldn't overpass results provided from other approaches. Indicatively, some of the highest accuracies achieved as presented by Kruti Choksi et al. [10] are close to a 100% whereas in this thesis it was 92%.

5. Conclusion

In overall an Intrusion Detection System constructed via Bayesian neural networks, shows promising results in the containment and better defence from cyber-attacks. However, there is still ample space for further improvement to be made in order to achieve the said level. Some additions to be considered could be *data feature selection*, *different optimization algorithms and architectures*.

As discussed in the above chapter several advantages occur from the method used in this thesis. However, the one that stands out is the fact that significantly small datasets produce better results than the initial one. In this respect, further adjustment of the Intrusion Detection System construction procedure could lead to results comparable to the highest ones achieved by other models up to now.

Finally, the proposed system cannot be applied as is for intrusion detection. However, it could prove to be a valuable tool in the creation of new datasets, where rare or unknown attacks could be gathered. In this way training of new Intrusion Detection Systems could be enhanced.

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