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"Assess the reporting quality of randomized controlled trials

exploring the efficacy and safety of the new anticoagulants versus

warfarin in patients with atrial fibrillation, based on CONSORT

statement"

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Επιβλέπων: Καθηγητής Ζιντζαράς Ηλίας

**ΛΑΡΙΣΑ 2015** 

# Τριμελής επιτροπή

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Αφιερώνεται

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**Abstract** 

Background: Randomized controlled trials (RCTs) are the 'gold standard' for

assessing new interventions. The CONSORT statement designed to improve the

quality of reporting RCTs. The novel oral anticoagulants (NOACs) appear to be a

good alternative to traditional anticoagulation with warfarin for prevention of stroke

and systemic embolism in patients with atrial fibrillation (AF). Despite the rapid

increase in research in NOACs, little is known about the reporting quality of RCTs

exploring the efficacy and safety of the NOACs versus warfarin in patients with AF.

**Aim:** We utilized the CONSORT 2010 statement to assess the reporting quality of

published RCTs comparing the efficacy and safety of the NOACs versus warfarin in

patients with AF.

**Methods:** A systematic literature search was performed for publications of RCTs

comparing NOACs to warfarin in patients with AF. Papers were scored against the 25

items in the CONSORT 2010 checklist.

**Results:** Five articles were identified. The total quality scores on the CONSORT 2010

checklist ranged between 67.6% and 78.4%, with a mean score of 72.5%.

Conclusion: The overall reporting quality of published RCTs in this field was

satisfactory. The adoption of the CONSORT statement seems to improve the quality

of both the conduct and reporting of trials.

Introduction

The assessment of new drugs and treatments is extremely important to the clinician in

the selection of best therapy.

Randomized controlled trials (RCTs), as the 'gold standard' of evidence based clinical

practice, are generally considered to have the highest level of credibility in

determining the efficacy of a new treatment.

Well-designed and properly conducted randomized controlled trials (RCTs) provide

the most reliable evidence in health interventions. This, in turn, leads to improvement

in the prevention or treatment of disease (1).

Many RCTs have been conducted with adequate methodological rigor to advance

scientific knowledge. The ability to evaluate and disseminate this knowledge directly

rests on the transparent and thorough reporting of trial methodology and findings.

In most cases the RCT report is the only source for clinicians, guideline developers,

and other researchers to judge the validity and generalisability of the results, so the

quality of reporting of trials is of inherent interest.

The lack of adequate reporting influences readers' interpretation of the evidence and

makes it more difficult to replicate the results for future research and follow

recommended treatment options (2, 3).

To alleviate this problem, guidelines have been created to assist researchers, peer

reviewers, and journal editors in complete reporting of RCTs.

The Consolidated Standards of Reporting Trials (CONSORT) statement

minimum (http://www.consort-statement.org) is a set of evidence-based

recommendations designed to improve the quality of reporting RCTs. It was initially

published in 1996 (4), then revised twice subsequently in 2001 and 2010 (5, 6).

The revisions were each accompanied by a detailed explanation and elaboration document for the purpose of enhancing the use, understanding, and dissemination of the statement (7, 8).

The CONSORT provides structured guidance to help researchers prepare reports of trial findings, facilitate complete and transparent reporting, and aid in critical appraisal and interpretation. The most current version of the statement includes a 25-item checklist (Picture 1, 2) and a flow diagram (Figure 2). The checklist provides standardized approaches to report the trial design, analysis, and interpretation, and the diagram gives instructions to display the progress of all participants throughout the trial.

Some journals require that manuscripts reporting the results of RCTs include the CONSORT flow diagram (Figure 2) showing the progress of patients throughout the trial, and that the CONSORT checklist (Picture 1, 2) also be completed and submitted with the manuscript. The Journal of the American Medical Association (JAMA), the Lancet and Annals of Internal Medicine all endorse the CONSORT statement. Even in those journals that require CONSORT compliance reporting on submitted trials, the published RCTs are not always 100% CONSORT-compliant.



Since the initial publication, the quality of clinical trial reporting has improved over the years in general (9, 10) and in many medical specialties (11-13). However, the quality of reporting is far from satisfactory, and incompleteness and inaccurate

reporting of trial results compounded with poor methodological rigor remain a serious

concern (the authors may have used the correct methodology, but may not have

explicitly reported all of the methodology used) (10, 14-16).

A number of publications have studied the quality of reports of RCTs in subspecialties

of medicine (17-22).

Cardiology is a specialty in which a large volume of research is conducted annually.

Systematic evaluation on the reporting quality of RCTs exploring the efficacy and

safety of the new anticoagulants versus warfarin in patients with atrial fibrillation,

based on the adherence to the CONSORT statement, has never been reported before.

Atrial fibrillation (AF) is a common cardiac arrhythmia associated with increased

morbidity and mortality. Patients with AF sustain an increased risk of arterial

thromboembolism and stroke. Therefore, antithrombotic strategies using anticoagulant

drugs and antiplatelet agents are recommended for patients with AF presenting with

risk factors for stroke. Antithrombotic therapy is also associated with a risk of

bleeding; therefore, the beneficial effects on stroke prevention should always be

compared against a patient's risk of major bleeding.

Existing guidelines recommend anticoagulant therapy for patients at intermediate or

high risk of stroke (23). Although standard adjusted dose vitamin K antagonist (VKA)

(eg. warfarin) (24, 25) has been the cornerstone treatment (until 2009, warfarin and

other vitamin K antagonists were the only class of oral anticoagulants available) for

reducing the risk of stroke or systemic embolism (SE) in this population, it is

associated with several drawbacks (narrow therapeutic range, drug and food

interactions, regular monitoring, and risk of bleeding) which have prompted the

development of novel (newer) oral anticoagulants (NOACs) such as direct thrombin

[Dabigatran (Pradaxa)] and factor Xa [eg. Apixaban (Eliquis), Edoxaban (Lixiana, Savaysa), Rivaroxaban (Xarelto)] inhibitors (Figure 1). Dabigatran etexilate is a prodrug that is rapidly converted to the active direct thrombin inhibitor dabigatran.

The novel oral anticoagulants (NOACs) appear to be a good alternative to traditional anticoagulation with vitamin K antagonists (VKAs). They have better oral bioavailability with less food and drug interactions. They do not require frequent INR monitoring and seem to be well tolerated in the long-term use.

Individually, the NOACs are at least as safe and effective as warfarin for prevention of stroke and systemic embolism in patients with AF (26-30).

The **aim** of this study was to assess the reporting quality of randomized controlled trials (RCTs) exploring the efficacy and safety of the new anticoagulants versus warfarin in patients with atrial fibrillation, based on CONSORT statement.

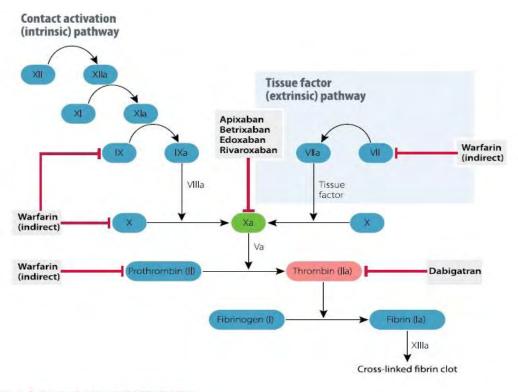
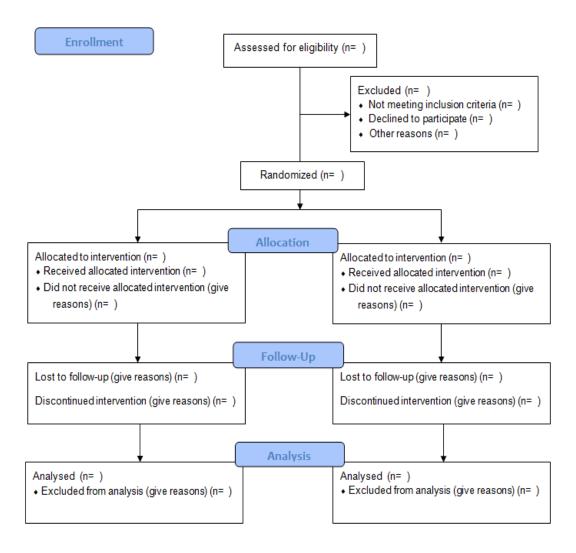


Figure 1. Nonvalvular atrial fibrillation.



#### CONSORT 2010 Flow Diagram



**Figure 2.** Flow diagram of the progress through the phases of a parallel randomised trial of two groups (enrolment, intervention allocation, follow-up, and data analysis)

(http://www.consort-statement.org)

Section/Topic	Item No	Checklist item	Reported on page No
Title and abstract			
	1a	Identification as a randomised trial in the title	
	1b	Structured summary of trial design, methods, results, and conclusions (for specific guidance see CONSORT for abstracts)	
ntroduction			
Background and	2a	Scientific background and explanation of rationale	
objectives	2b	Specific objectives or hypotheses	
Methods			
Trial design	3a	Description of trial design (such as parallel, factorial) including allocation ratio	
	3b	Important changes to methods after trial commencement (such as eligibility criteria), with reasons	
Participants	4a	Eligibility criteria for participants	
	4b	Settings and locations where the data were collected	
nterventions	5	The interventions for each group with sufficient details to allow replication, including how and when they were actually administered	
Outcomes	6a	Completely defined pre-specified primary and secondary outcome measures, including how and when they were assessed	
	6b	Any changes to trial outcomes after the trial commenced, with reasons	
Sample size	7a	How sample size was determined	
	7b	When applicable, explanation of any interim analyses and stopping guidelines	
Randomisation:			
Sequence	8a	Method used to generate the random allocation sequence	
generation	8b	Type of randomisation; details of any restriction (such as blocking and block size)	
Allocation	9	Mechanism used to implement the random allocation sequence (such as sequentially numbered containers),	
concealment mechanism		describing any steps taken to conceal the sequence until interventions were assigned	
Implementation	10	Who generated the random allocation sequence, who enrolled participants, and who assigned participants to interventions	
Blinding	11a	If done, who was blinded after assignment to interventions (for example, participants, care providers, those	

Picture 1. CONSORT 2010 checklist

		assessing outcomes) and how
	11b	If relevant, description of the similarity of interventions
Statistical methods	12a	Statistical methods used to compare groups for primary and secondary outcomes
	12b	Methods for additional analyses, such as subgroup analyses and adjusted analyses
Results		The state of the s
Participant flow (a diagram is strongly	13a	For each group, the numbers of participants who were randomly assigned, received intended treatment, and were analysed for the primary outcome
ecommended)	13b	For each group, losses and exclusions after randomisation, together with reasons
Recruitment	14a	Dates defining the periods of recruitment and follow-up
	14b	Why the trial ended or was stopped
Baseline data	15	A table showing baseline demographic and clinical characteristics for each group
Numbers analysed	16	For each group, number of participants (denominator) included in each analysis and whether the analysis was by original assigned groups
Outcomes and estimation	17a	For each primary and secondary outcome, results for each group, and the estimated effect size and its precision (such as 95% confidence interval)
	17b	For binary outcomes, presentation of both absolute and relative effect sizes is recommended
incillary analyses	18	Results of any other analyses performed, including subgroup analyses and adjusted analyses, distinguishing pre-specified from exploratory
łarms	19	All important harms or unintended effects in each group (for specific guidance see CONSORT for harms)
Discussion		
imitations	20	Trial limitations, addressing sources of potential bias, imprecision, and, if relevant, multiplicity of analyses
Seneralisability	21	Generalisability (external validity, applicability) of the trial findings
nterpretation	22	Interpretation consistent with results, balancing benefits and harms, and considering other relevant evidence
ther information		
tegistration	23	Registration number and name of trial registry
rotocol	24	Where the full trial protocol can be accessed, if available
	25	Sources of funding and other support (such as supply of drugs), role of funders

Picture 2. CONSORT 2010 checklist (continued)

**Methods** 

**Study Selection** 

We systematically searched the publications of RCTs comparing new oral

anticoagulants (NOACs) to warfarin in patients with AF.

A systematic literature search of MEDLINE (PubMed) and Cochrane databases from

inception to July 2015 was performed.

The following were used as medical subject heading terms and/or keywords: "atrial

fibrillation", "warfarin", "dabigatran", "rivaroxaban", "apixaban", "edoxaban".

Reference lists of all studies included in the present systematic review, were screened

for potential additional eligible studies.

Studies were included if they met the following selection criteria: (1) they were phase

III randomized controlled trials (RCTs) between NOACs and warfarin, (2) all the

patients were randomized to warfarin (comparator) or to non-vitamin K antagonist

oral anticoagulants (NOACs) (in our present study, we defined apixaban, dabigatran,

edoxaban and rivaroxaban as NOACs), (3) the population of interest was patients with

atrial fibrillation (AF), irrespective of cause (adults aged 18 years and older with

nonvalvular AF-no criteria were enforced for gender), (4) to assess the long-term

efficacy and safety of these agents, only RCTs with follow-up duration at least 1 year

were included, (5) they were published in English language, (6) studies performed in

humans. Except blinded, the open-label studies were also included because of the

need of frequent INR monitoring for warfarin. For all the included studies, the

primary efficacy endpoint was composite of stroke and systemic embolism. The

secondary efficacy endpoints included ischemic stroke, hemorrhagic stroke, all-cause

mortality, and myocardial infraction. For safety evaluation, the main endpoint was

major bleeding defined as fatal bleeding or bleeding in a critical site, and the

secondary endpoint included gastrointestinal and intracranial bleeding. We only

considered the studies approved or in development, so the studies for ximelagatran,

which had been withdrawn (because of hepatoxicity) (31) and studies for darexaban,

which is no longer in development (32) were excluded from our analysis.

Conference abstracts and presentations were also excluded, because their results may

not be final and such publications undergo more limited peer review.

**Data Extraction and Reporting Assessment Tool** 

As assessment tool for quality of reporting of randomized controlled trials (RCTs), we

used the CONSORT checklist, revised in 2010, which includes a 25-item

questionnaire (http://www.consort-statement.org). Papers were scored against the 25

items in the 2010 CONSORT statement (each item was given an equal weighting).

Each item was subdivided as outlined in the CONSORT statement: 12 items were

divided into a and b parts giving a total of 37 points scored per paper. Hence, based on

CONSORT reporting items, we developed a 37-items data extraction sheet (Table 2).

We reviewed each article and determined whether the RCT paper reported on each of

the 37 items of the revised CONSORT statement.

All items were investigated in terms of whether they were reported, not whether they

were actually carried out during the trial. Each item was characterised as 'yes' if it

was clearly and adequately reported in the trial or 'no' if it was partially reported,

unclear, or not reported at all.

Each 'yes' answer received a score of 1 and each 'no' answer was scored as 0.

We conducted a descriptive statistical analysis of all evaluated articles. Data were

analyzed using Microsoft Excel 2007 and SPSS software (version 19.0).

In order to assess adherence to CONSORT checklist items, we calculated the number

and proportion of trial articles that clearly and adequately reported each of the 37

CONSORT items (proportion of each item = the number of articles that reported the

item /total articles-for example, if 3 of 5 RCTs reported item 8a on the checklist, that

item would score an overall compliance score of 60%) (Table 2) (Figure 4).

Although all items in the CONSORT checklist are considered important as to improve

the quality of reports of RCTs, emphasis was placed on reporting of methodological

items which are more specific to assess the methodological quality of RCTs, that is

sample size, randomization (sequence generation, allocation concealment,

implementation), blinding, performed statistical methods, description of baseline data,

precision of estimated effect size and reporting of ITT analysis.

Explaining more specifically some methodological CONSORT criteria: i)

randomization is the method used to generate the random allocation sequence,

including details of any restriction (e.g. blocking, stratification) ii) allocation

concealment is the method used to implement the random allocation sequence (e.g.

numbered containers or central telephone), clarifying whether the sequence was

concealed until interventions were assigned and iii) implementation of randomization

answers the question of who generated the allocation sequence, who enrolled

participants, and who assigned participants to their groups.

The number and percentage of articles reporting each applicable section on the

CONSORT checklist was also calculated (proportion of each section =the sum of

items percentage of each section/total items of each section) (Table 4).

The total quality of reporting score (the CONSORT score) of each trial article was

calculated as a proportion of the 'yes' rated applicable items on the CONSORT

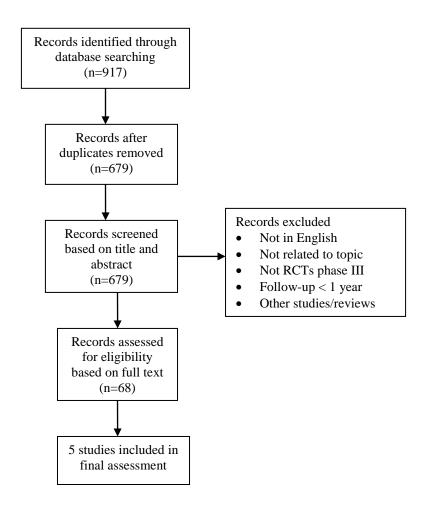
checklist (possible range 0-37 points) (CONSORT score of each article = the number

of reported items/37 items-for example, a RCT reporting 20 of the 37 items on the checklist would score 54.1%) (Table 3), which was used to inform a global assessment of the quality of reporting.

### **Results**

Our literature search identified a total of 917 articles.

After removing duplicates, we screened titles and abstracts and the full text of 68 publications was retrieved and evaluated for eligibility. Five trials (RE-LY, ARISTOTLE, ENGAGE AF-TIMI 48, ROCKET AF, J-ROCKET AF) that met our inclusion criteria were identified and included in the present study (Figure 3).



**Figure 3.** Flow chart of study selection process

The number of trials examining each drug were: one for apixaban (ARISTOTLE)

(28), one for edoxaban (ENGAGE AF-TIMI 48) (29), one for dabigatran (RE-LY)

(26) and two for rivaroxaban (ROCKET AF, J-ROCKET AF) (27, 30).

The 5 included randomized clinical trials assessed the relative efficacy and safety of a

new oral anticoagulant, apixaban, dabigatran, rivaroxaban or edoxaban, compared to

warfarin in patients with atrial fibrillation (AF).

They were each designed to determine if the study drug was noninferior to warfarin

with respect to the composite end point of all stroke and systemic embolism.

These randomized clinical trials have a number of similar conclusions.

The Randomized Evaluation of Long-Term Anticoagulation Therapy (RE-LY) was a

randomized trial designed to compare two fixed doses of dabigatran, each

administered in a blinded manner, with open-label use of warfarin [target international

normalized ratio (INR), 2.0 to 3.0] in patients who had AF and were at increased risk

for stroke (26). In this noninferiority trial, 18,113 patients were randomized. The

median duration of the follow-up period was 2.0 years.

In conclusion, in patients with atrial fibrillation, dabigatran given at a dose of 110 mg

twice daily was associated with rates of stroke and systemic embolism that were

similar to those associated with warfarin, as well as lower rates of major hemorrhage.

Dabigatran administered at a dose of 150 mg twice daily, as compared with warfarin,

was associated with lower rates of stroke and systemic embolism but similar rates of

major hemorrhage.

In ARISTOTLE, 18,201 patients with nonvalvular AF were randomized to either

apixaban 5 mg twice daily or to warfarin (28).

In conclusion, in patients with atrial fibrillation, apixaban was superior to warfarin in

preventing stroke or systemic embolism, caused less bleeding, and resulted in lower

mortality.

ROCKET AF compared a 20 mg/day dose of rivaroxaban to warfarin in 14,264

patients with nonvalvular AF (27).

In conclusion, in patients with atrial fibrillation, rivaroxaban was noninferior to

warfarin for the prevention of stroke or systemic embolism. There was no significant

between-group difference in the risk of major bleeding.

The Effective Anticoagulation with Factor Xa Next Generation in Atrial Fibrillation—

Thrombolysis in Myocardial Infarction 48 (ENGAGE AF-TIMI 48) was a

randomized trial designed to compare two once-daily regimens of edoxaban with

warfarin in 21,105 patients with moderate-to-high-risk AF (29).

In conclusion, both once-daily regimens of edoxaban were noninferior to warfarin for

the prevention of stroke or systemic embolism.

J-ROCKET AF trial, compared the safety of a Japan-specific rivaroxaban dose with

warfarin administered according to Japanese guidelines in Japanese patients with AF

(30).

The main characteristics of the included trials are summarized in Table 1.

Reporting quality assessment of included trials was conducted using the CONSORT

statement.

Table 2 shows the adherence of the selected RCTs to the CONSORT statement.

Trial	NOAC	Intervention	<b>Patients</b>	Follow-up period	Trial	CHADS2*	
				(median)	design-	score	
					double	(mean)	
					blind		
RE-LY (26)	Dabigatran	Warfarin/ Dabigatran 150 mg	18,113	2.0 years	No	2.1	
		Dabigatran 110 mg					
ROCKET AF (27)	Rivaroxaban	Warfarin/ Rivaroxaban 20 mg	14,264	1.9 years	Yes	3.48	
ARISTOTLE (28)	Apixaban	Warfarin/ Apixaban 5 mg	18,201	1.8 years	Yes	2.1	
ENGAGE AF-TIMI 48 (29)	Edoxaban	Warfarin/Edoxaban 60 mg	21,105	2.8 years	Yes	2.8	
		Edoxaban 30 mg					
J-ROCKET AF (30)	Rivaroxaban	Warfarin/ Rivaroxaban 15 mg	1,280	1.3 years (mean)	Yes	3.27	

<sup>\*</sup>The CHADS2 score, an index of the risk of stroke in patients with atrial fibrillation, ranges from 1 to 6, with higher scores indicating a greater risk of stroke

Secti	on/Topic	Item Number	Item description	Adherence
				[n (%)]
Title and abstra	act	1a	Identification as a randomised trial in the title	0
		1b	Structured summary of trial design, methods, results, and	5 (100)
			conclusions	
Introduction	Background	2a	Scientific background and explanation of rationale	5 (100)
	and objectives	2b	Specific objectives or hypotheses	5 (100)
Methods	Trial design	3a	Description of trial design (such as parallel, factorial)	5 (100)
			including allocation ratio	
		3b	Important changes to methods after trial commencement	2 (40)
			(such as eligibility criteria), with reasons	
	Participants	4a	Eligibility criteria for participants	5 (100)
		4b	Settings and locations where the data were collected	2 (40)

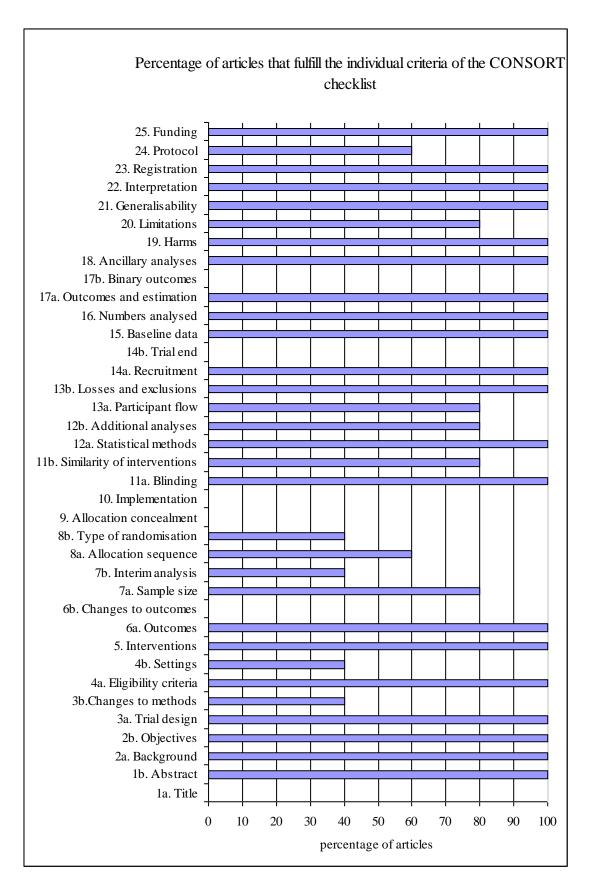
Interventions	5	The interventions for each group with sufficient details to	5 (100)
		allow replication, including how and when they were	
		actually administered	
Outcomes	ба	Completely defined pre-specified primary and secondary	5 (100)
		outcome measures, including how and when they were	
		assessed	
	6b	Any changes to trial outcomes after the trial commenced,	0
		with reasons	
Sample size	7a	How sample size was determined	4 (80)
	7b	When applicable, explanation of any interim analyses and	2 (40)
		stopping guidelines	
Randomisation:	8a	Method used to generate the random allocation sequence	3 (60)
Sequence	8b	Type of randomisation; details of any restriction (such as	2 (40)

generation		blocking and block size)	
Randomisation:	9	Mechanism used to implement the random allocation	0
Allocation		sequence (such as sequentially numbered containers),	
concealment		describing any steps taken to conceal the sequence until	
mechanism		interventions were assigned	
Randomisation:	10	Who generated the random allocation sequence, who	0
Implementation		enrolled participants, and who assigned participants to	
		interventions	
Blinding	11a	If done, who was blinded after assignment to	5 (100)
		interventions (for example, participants, care providers,	
		those assessing outcomes) and how	
	11b	If relevant, description of the similarity of interventions	4 (80)
Statistical	12a	Statistical methods used to compare groups for primary	5 (100)
methods		and secondary outcomes	

		12b	Methods for additional analyses, such as subgroup	4 (80)
			analyses and adjusted analyses	
Results	Participant	13a	For each group, the numbers of participants who were	4 (80)
	flow (a diagram		randomly assigned, received intended treatment, and	
	is strongly		were analysed for the primary outcome	
	recommended)	13b	For each group, losses and exclusions after	5 (100)
			randomisation, together with reasons	
	Recruitment	14a	Dates defining the periods of recruitment and follow-up	5 (100)
		14b	Why the trial ended or was stopped	0
	Baseline data	15	A table showing baseline demographic and clinical	5 (100)
			characteristics for each group	
	Numbers	16	For each group, number of participants (denominator)	5 (100)
	analysed		included in each analysis and whether the analysis was by	
			original assigned groups	

	Outcomes and	17a	For each primary and secondary outcome, results for each	5 (100)
	estimation		group, and the estimated effect size and its precision	
			(such as 95% confidence interval)	
		17b	For binary outcomes, presentation of both absolute and	0
			relative effect sizes is recommended	
	Ancillary	18	Results of any other analyses performed, including	5 (100)
	analyses		subgroup analyses and adjusted analyses, distinguishing	
			pre-specified from exploratory	
	Harms	19	All important harms or unintended effects in each group	5 (100)
Discussion	Limitations	20	Trial limitations, addressing sources of potential bias,	4 (80)
			imprecision, and, if relevant, multiplicity of analyses	
	Generalisability	21	Generalisability (external validity, applicability) of the	5 (100)
			trial findings	
	Interpretation	22	Interpretation consistent with results, balancing benefits	5 (100)

			and harms, and considering other relevant evidence	
Other	Registration	23	Registration number and name of trial registry	5 (100)
information	Protocol	24	Where the full trial protocol can be accessed, if available	3 (60)
	Funding	25	Sources of funding and other support (such as supply of	5 (100)
			drugs), role of funders	



**Figure 4.** Percentage of articles that fulfill the individual criteria of the CONSORT checklist

The articles report on most of the items on the CONSORT 2010 statement, but none

of the articles reported all 37 items (no paper scored fully on all items of the

CONSORT 2010 guidelines). The total scores on the CONSORT 2010 checklist

ranged from 25 to 29, with a mean score 26.8±1.79 of 37 items (the total scores on the

CONSORT 2010 checklist ranged between 67.6% and 78.4%, with a mean score of

72.5% and standard deviation of 4.83%) (Table 3).

Consequently, the average adherence of the selected RCTs articles to the CONSORT

statement was 72.5%.

Some methodological items from the checklist, including "randomization" (sequence

generation), were poorly described, while we assessed most other items as adequately

reported. Of all methodological items of the CONSORT statement, allocation

concealment mechanism and randomization implementation were omitted in the

selected studies.

Specifically, the following findings are summarized in Table 2:

None of all trial reports stated in the title of the report that the trial was randomized.

For the item relating to abstract content there was a high level of compliance (100%).

All RCTs reports introduced a scientific background and an explanation of rationale.

All articles reported hypothesis and objectives

Description of the trial design was reported by all the included studies.

Two trial reports mentioned important changes to methods after trial commencement.

All studies (100%) reported adequate information regarding the eligibility criteria for

study participants.

Forty percent (2/5) of all trial reports provided the locations of the trial data

collection.

There was 100% reporting of the details of the intended intervention in each group.

All trial reports (5/5) defined the primary and secondary outcome measures.

None of the trials reported any changes to trial outcomes after the trial commenced.

80% of trial papers (4/5) stated that an estimation of sample size had been done.

Two studies reported that interim analyses had been applied.

The method used to generate the random allocation sequence in the RCTs was

reported from three papers (60%): Randomization was performed with the use of a

central, 24-hour, computerized, automated voice-response system (ROCKET AF);

Randomization was performed with the use of a central, 24-hour, interactive,

computerized response system (ENGAGE-TIMI 48); all trial participants were

randomly assigned to receive one of two doses of dabigatran, or to receive warfarin,

by means of a central, interactive, automated telephone system (RE-LY).

Only two studies (40%) reported the type of randomization.

While all of these studies were reported as RCTs, none of the articles described the

allocation concealment mechanism and the personnel who implemented the

randomization process, i.e. none of the trial reports provided information on who

generated the random allocation sequence, administered the intervention and/or

assigned the intervention groups.

All articles reported whether there was any blinding.

In addition to reporting who was blinded, 80% (4/5) of the trial reports provided

information on how blinding was achieved.

All RCTs articles reported statistical methods.

Four studies (80%) reported the methods for additional analyses (such as subgroup

analyses and adjusted analyses).

A participant flow diagram through each stage of the study was given in 80% (4/5) of

the trial reports.

All trial reports provided information on any loss and exclusion after randomization,

for each study group.

All trial reports (5/5) supported information on the time of the recruitment period and

the follow-up period.

All trial reports used a table to show baseline demographic and clinical characteristics

for each group.

All trial reports (100%) stated any information about "intention-to-treat" analysis.

All trial reports (100%) stated the estimates of the precision of estimated effect size

(i.e. presentation of 95% confidence intervals).

All trial articles included reporting of the use of ancillary analyses.

All trial reports mentioned adverse or unintended effects in each group.

Four trial reports analyzed the trial limitations and all balanced the benefits and harms

of the results.

Generalizability of the trial findings, was reported by 100% of the included trials.

Registration numbers or names of trial registries were reported by all studies.

(The first U.S. federal law requiring trial registration was established in 1997 and the

registry of ClinicalTrials.gov was released by the National Institutes of Health in

2000).

Of all articles reviewed, 60% (3/5) reported where the full trial protocol could be

accessed.

The details of the funding sources were provided in all trial reports (all the trials were

fully funded by industry).

Table 3 presents an overall quality score for each trial as a global assessment of the

quality of reporting.

**Table 3.** The total scores on the CONSORT 2010 checklist by year of publication and study name

Study name	Journal name	Publication year	CONSORT 2010
			statement score* \$
RE-LY	The New England	2009	25 (67.6%)
	Journal of		
	Medicine		
	(NEJM.org)		
ROCKET AF	The New England	2011	27 (73.0%)
	Journal of		
	Medicine		
ARISTOTLE	The New England	2011	28 (75.7%)
	Journal of		
	Medicine		
J-ROCKET AF	Circulation Journal	2012	25 (67.6%)
ENGAGE AF-	The New England	2013	29 (78.4%)
TIMI 48	Journal of		
	Medicine		

<sup>\*</sup> The score for each article was calculated as the total points scored for this article divided by the number of applicable items. For example, the RE-LY trial article, that fulfilled 25 items from the CONSORT 2010 checklist out of applicable 37 items, received a score of 67.6%.

<sup>\$</sup> The higher the percentage, the more adequately authors reported their trial.

These articles were published in the post-CONSORT period, after 1996 and were retrieved from the journals:

The New England Journal of Medicine (impact factor: 55.873) and the Circulation Journal (impact factor: 14.430) which require the CONSORT checklist and flow diagram to accompany any reports of RCTs-have endorsed the CONSORT statement. Table 4 summarizes the average reporting percentage for each section of the CONSORT checklist of the included trials. The reporting percentage for the 'title and abstract' section was 50%, for the 'introduction' section 100%, for the 'methods' section 62%, for the 'results' section 78%, for the 'discussion' section 94% and for the 'other information' section 86%.

**Table 4.** The average reporting percentage for each section of the CONSORT checklist of the included trials

Section	Number (n)*	Percentage (%)*\$
Title and abstract	2.5	50
Introduction	5.0	100
Methods	3.1	62
Results	3.9	78
Discussion	4.7	94
Other information	4.3	86

<sup>\*</sup> The number and percentage of articles reporting each applicable section on the CONSORT checklist

<sup>\$</sup> percentage of each section = the sum of items percentage of each section/total items of each section

**Conclusions** 

The researchers have the most thorough understanding of the trial, so it is important

for them to give a complete description of the trial process and a deep analysis of

outcomes.

A well-designed and well-reported RCT should meet all of the criteria of the

CONSORT statement. With adequate reporting, readers will understand what was

actually done, rather than assume what was done.

The CONSORT items do not actually assess the quality of the methodology of an

RCT, but rather assess the reporting of key items that are crucial in determining the

validity and quality of the RCT. The CONSORT checklist was developed as a

guideline, not as an actual scale for assessing methodology of an RCT.

In the present study, we assessed the quality of reporting of randomized controlled

trials that compared the efficacy and safety of the new anticoagulants versus warfarin

in patients with atrial fibrillation. The results showed that the overall reporting quality

of published RCTs was moderate to high.

These articles reported satisfactorily on many important items (i.e. outcome measures,

participant criteria, participant flow, sample size calculation, intention-to-treat

analysis and precision of measurement), making it easy for any reader to determine

the quality and validity of results without needing to make various assumptions.

Compliance was poorest for items relating to randomization: although the studies had

a high score failed to report on the implementation of randomization and allocation

concealment mechanism.

Good randomization protocols aim to produce treatment groups that are comparable

and have an equal distribution of both known and unknown confounders. Achieving

patient randomization suitable for a clinical trial is a complex issue. The fact that all

items relating to the reporting of randomization (items 8a, 8b, 9, and 10) were poorly

adhered to, highlights the need for further education regarding this aspect of trial

description.

There is no evidence that the failure to mention methodological details equates to the

lack of methodological knowledge or skills: a method of a trial that is not reported

does not mean actually that it has not been performed. The reporting of

methodological aspects of RCTs does not necessarily reflect the conduct of the trial.

The responsibility for reporting lies not only with the authors.

Peer reviewers and editors are at fault for not insisting on complete description of the

studies as dictated by the CONSORT statement.

The findings of the present study suggest that many investigators engaging in RCTs in

Cardiology are familiar with the CONSORT statement and understand of how to

properly design and execute an RCT.

The study showed that journals such as the New England Journal of Medicine and the

Circulation Journal, that have adopted the CONSORT checklist, have improved levels

of compliance in their trial reports. There is good evidence in the literature that the

adoption of CONSORT statement improves the quality of both the conduct and

reporting of trials in journals that have taken the decision to make it a requirement for

submission acceptance.

Although all papers scored highly, a maximum score of 29 (78.4%) was achieved, no

papers successfully met all criteria laid out in the 2010 CONSORT statement. This

suggests that there is still room for improvement when publishing trials in cardiology.

Trial groups, authors, journals, and funding bodies should work collaboratively to

improve the quality of trial reporting.

Journal editors, reviewers and authors should be encouraged to adhere to the

CONSORT statement when reporting on RCTs and/or reviewing the reports of RCTs,

in order to ensure high-quality trials.

Researchers also need to design research with full understanding of the CONSORT

reporting guidelines and full consideration of items whose reporting quality is low.

In conclusion, the reporting of randomized controlled trials that compared the efficacy

and safety of the new anticoagulants versus warfarin in patients with atrial fibrillation

in the last decade has been more than adequate, and better in general than in many

other fields. It seems that funding agencies, investigators, and journals have

developed a cohesive strategy to implement the reporting standards laid down in the

2010 CONSORT statement.

During a period of rapid transition in the healthcare delivery system and especially

during a period of new pharmaceutical and genetic discoveries, higher quality reports

are likely to improve RCT interpretation, minimize biased conclusions, and ultimately

facilitate decision-making about treatment effectiveness.

The knowledge gained from this study should be viewed as an opportunity for

improved adherence and increased awareness of the CONSORT statement.

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